

Short Version – English

Country:	Republic of Mozambique
Submission Date:	Wednesday, 08 April 2026
Titel:	Construction works, Coastal Protection Infrastructures in Proximity to Urban Centres, Maxixe
BMZ No.:	2018 67 472
KfW Procurement No.:	513271
Project Name:	Coastal Cities as Sustainable Economic Hubs
Type of Notice:	Prequalification
Submission Type:	Electronic submission; www.exfitender.de
Short Description:	<p>Qualified construction contractors or joint ventures are invited to submit their prequalification applications (electronic submission) for the procurement of construction works, Maxixe, to mitigate the impacts of coastal erosion by implementing suitable solutions such as groynes, shore revetments, rehabilitation of drainage outlets.</p> <p>Bidders are made aware of a similar Bidding process published on this platform for Inhambane (KfW no. 513176). Potential bidders are encouraged to participate in both tender processes.</p> <p>The Tender Process is subject to KfW's "Guidelines for the Procurement of Consulting Services, Works, Plant, Goods and Non-Consulting Services in Financial Cooperation with Partner Countries" (https://www.kfw-entwicklungsbank.de/PDF/Download-Center/PDF-Dokumente-Richtlinien/FZ-Vergaberichtlinien-V-2021-EN.pdf).</p> <p>PQ documents to be requested by email from the PEA (details here below) and/or downloadable for registered companies from electronic procurement platform www.exfitender.de.</p>
PEA Name + Address:	<p>Mr. Stelio Alfredo Ferrão Conselho Municipal de Maxixe Esquina entre Avenidas Eduardo Mondlane e Heróis Moçambicanos, Bairro Chambone "B", Maxixe, Republic of Mozambique cmcmx0103@gmail.com, ferraoyandissa@gmail.com copy: 34715@ces.de</p>

Kurztext – Deutsch

Land:	Republik Mosambik
Termin:	Mittwoch, 08 April 2026
Titel:	Baumassnahmen, Küstsicherungsinfrastrukturen in der Nähe von Stadtzentren, Maxixe
BMZ Nr.:	2018 67 472
KfW Beschaffungsnr.:	513271
Projektname:	Küstenstädte als nachhaltige Wirtschaftszentren
Art der Meldung:	Präqualifizierung
Art der Abgabe:	Elektronische Abgabe; www.exfitender.de
Kurzbeschreibung:	<p>Qualifizierte Bauunternehmer oder Joint Ventures sind eingeladen, ihre Präqualifikationsunterlagen (elektronische Einreichung) für die Vergabe von Baumassnahmen, Maxixe, zur Minderung der Auswirkungen von Küstenerosion, Küstenausbreitung und Überschwemmungen durch die Umsetzung geeigneter Lösungen wie: Buhnen, Bepflanzung von Mangroven und Sanierung von Entwässerungssystemen einzureichen.</p> <p>Bieter werden auf einen ähnlichen Ausschreibungsprozess auf dieser Plattform für Inhambane (KfW Nr. 513176) aufmerksam gemacht. Potenzielle Bieter werden ermutigt, an beiden Ausschreibungsverfahren teilzunehmen.</p> <p>Das Ausschreibungsverfahren erfolgt nach den KfW "Guidelines for the Procurement of Consulting Services, Works, Plant, Goods and Non-Consulting Services in Financial Cooperation with Partner Countries" (https://www.kfw-entwicklungsbank.de/PDF/Download-Center/PDF-Dokumente-Richtlinien/FZ-Vergaberichtlinien-V-2021-EN.pdf).</p> <p>PQ Dokumente sind bei der PEA per email anzufragen (Details siehe unten) oder/und sind herunterladbar für registrierte Unternehmen von www.exfitender.de.</p>
PEA Name + Adresse:	Hr. Stelio Alfredo Ferrão Conselho Municipal de Maxixe Esquina entre Avenidas Eduardo Mondlane e Heróis Moçambicanos, Bairro Chambone "B", Maxixe, Republic of Mozambique cmcmx0103@gmail.com , ferraoyandissa@gmail.com Kopie: coastalcities@ces.de



KfW



**German Financial Cooperation
with the
Republic of Mozambique**

Coastal Cities as Sustainable Economic Hubs

**Prequalification Document
for**

**Procurement of Construction Works
Coastal Protection Infrastructures in Proximity to Urban Centres
Chambone A + Malalane, Maxixe**

Employer: Conselho Municipal de Maxixe

BMZ ID: 2018 67 472

KfW No: 513271

February 2026

Prequalification Document for Procurement of Works

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List of Abbreviations

BMZ	Bundesministerium für Zusammenarbeit (German Federal Ministry for Economic Cooperation and Development)
CER	Certificates (form)
CES	Consulting Engineers Salzgitter GmbH
COC	Ethical Business Principles (form)
CON	Historical Contract Non-Performance (form)
ELI	Eligibility (form)
ENV	Environmental Capacity (form)
ESHS	Environmental, Social, Health and Safety
EUR	Euro
EXP	Construction Experience (form)
FIN	Financial Situation and Performance (form)
ICB	International Competitive Bidding
ILO	International Labour Organisation
ITA	Instructions to Applicants
JV	Joint Venture
KfW	KfW Development Bank
LOC	Socially Responsible Works Implementation (form)
N/A	Not applicable
OHSAS	Occupational Health and Safety Capacity (form)
PDS	Prequalification Data Sheet
PEA	Programme Executing Agency
PER	List of Available ESHS and Construction Personnel (form)
PQ	Pre-qualification

PART 1 – Prequalification Procedures

Section I Instructions to Applicants

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Section I Instructions to Applicants

A. General

1. **Scope of Application**
 - 1.1 In connection with the Invitation for Prequalification indicated in Section II, Prequalification Data Sheet (PDS), the Employer ¹, as defined in the **PDS**, issues this Prequalification Document (“Prequalification Document”) to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification to bid for the Works described in Section VII, Scope of Works. In case the Works are to be bid as individual contracts (i.e. the slice and package procedure), these are listed in the **PDS**. The International Competitive Bidding (“ICB”) number corresponding to this prequalification is also provided in the **PDS**.
2. **Source of Funds**
 - 2.1 The Employer as indicated in the **PDS** has applied for or received financing (hereinafter called “funds”) from KfW Development Bank (hereinafter called “KfW”) towards the cost of the project named in the **PDS**. The Employer intends to apply a portion of the funds to eligible payments under the contract(s) resulting from the bidding for which this prequalification is conducted.
3. **Corrupt and Fraudulent Practices**
 - 3.1 KfW requires compliance with its policy in regard to corrupt and fraudulent practices as set forth in Section VI.
 - 3.2 In further pursuance of this policy, Applicants shall permit and shall cause its agents to provide information and permit KfW or an agent appointed by KfW to inspect on site all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors or agents appointed by KfW.
4. **Eligible Applicants**
 - 4.1 An Applicant may be a firm that is a private entity, a government-owned entity - subject to Section V - or a combination of such entities in the form of a joint venture (“JV”) under an existing JV Agreement or with the intent to enter into such an agreement supported by Declarations of Association. In the case of a JV, all members shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms. The Applicant shall nominate an authorized representative who shall have the authority to conduct all business for and on behalf of the Applicant and any and all its members, if the Applicant is a JV, during the prequalification process, bidding (in the event the Applicant submits a bid) and during contract execution (in the event the Applicant is awarded the Contract). Unless specified in the **PDS**, there is no limit on the number of members in a JV.
 - 4.2 A firm may apply for prequalification both individually, and as part of a JV, or as a subcontractor. If prequalified, it will not be permitted to bid for the same contract both as an individual firm and as a part of the joint venture. However, a firm may participate as a subcontractor in more than one bid, but only in that capacity. Bids submitted in violation of this procedure will be rejected.
 - 4.3 KfW’s eligibility criteria for prequalification are described in Section V, Eligibility Criteria.

¹ Instead of Employer, the term Project Executing Agency or Contracting Authority might be used interchangeably.

- 4.4 Applicants shall not have a conflict of interest. Any Applicant found to have a conflict of interest shall be disqualified. An Applicant may be considered to have a conflict of interest for the purpose of this prequalification process, if the Applicant:
- (a) Directly or indirectly controls, is controlled by or is under common control with another Applicant; or
 - (b) Receives or has received any direct or indirect subsidy from another Applicant; or
 - (c) Has the same legal representative as another Applicant; or
 - (d) Has a relationship with another Applicant, directly or through common third parties, that puts it in a position to influence the application of another Applicant, or influence the decisions of the Employer regarding this prequalification process; or
 - (e) Any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the prequalification; or
 - (f) Any of its affiliates has been hired (or is proposed to be hired) by the Employer as Engineer for the Contract implementation; or
 - (g) Has a close business or family relationship with a professional staff of the Employer (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the prequalification documents or specifications of the contract, and/or the prequalification evaluation process; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to KfW throughout the procurement process and execution of the contract.
- 4.5 An Applicant shall not be under suspension from bidding by the Employer as the result of the execution of a Bid Securing Declaration.
- 4.6 An Applicant shall provide such evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 5. Eligible Materials, Equipment, and Services**
- 5.1 The materials, equipment and services to be supplied under the Contract and financed by KfW may have their origin in any country subject to the restrictions specified in Section V, Eligibility Criteria, and all expenditures under the Contract will not contravene such restrictions.

B. Contents of the Prequalification Documents

- 6. Sections of Prequalification Documents**
- 6.1 These Prequalification Documents consist of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with ITA 8.
- PART 1 Prequalification Procedures
- (a) Section I Instructions to Applicants (ITA);
 - (b) Section II Prequalification Data Sheet (PDS);
 - (c) Section III Qualification and Evaluation;
 - (d) Section IV Application Forms;
 - (e) Section V Eligibility Criteria;
 - (f) Section VI KfW Policy – Corrupt and Fraudulent practices – Social and Environmental Responsibility;

PART 2 - Works Requirements

(g) Section VII Scope of Works.

- 6.2 Unless obtained directly from the Employer, the Employer accepts no responsibility for the completeness of the Prequalification Documents, responses to requests for clarification, or Addenda in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Employer shall prevail.
- 6.3 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Documents and to furnish with its Application all information or documentation as is required by the Prequalification Documents.
- 7. Clarification of Prequalification Documents**
- 7.1 A prospective Applicant requiring any clarification of the Prequalification Documents shall contact the Employer in writing at the Employer's address indicated in the **PDS**. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Employer shall forward a copy of its response to all prospective Applicants who have obtained the Prequalification Documents directly from the Employer, including a description of the inquiry but without identifying its source. If so indicated in the **PDS**, the Employer shall also promptly publish its response at the web page identified in the **PDS**. Should the Employer deem it necessary to amend the Prequalification Documents as a result of a clarification, it shall do so following the procedure under ITA 8 and in accordance with the provisions of ITA 17.2.
- 8. Amendment of Prequalification Documents**
- 8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Prequalification Documents by issuing an Addendum.
- 8.2 Any Addendum issued shall be part of the Prequalification Documents and shall be communicated in writing to all prospective Applicants who have obtained the Prequalification Documents from the Employer. The Employer shall promptly publish the Addendum at the Employer's web page identified in the **PDS**.
- 8.3 To give prospective Applicants reasonable time to take an Addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

- 9. Cost of Applications**
- 9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.
- 10. Language of Application**
- 10.1 The Application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified in the **PDS**. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the **PDS**, in which case, for purposes of interpretation of the Application, the translation shall govern.
- 11. Documents Comprising the Application**
- 11.1 The Application shall comprise the following:
- (a) The Application Submission Sheet, indicating the Applicant's name, address, telephone, fax and email. If the Applicant is an association, the Application Submission Sheet shall also describe the form of association and list the association members.

- (b) A Power of Attorney authorizing the representative of the Applicant, designated in accordance with ITA 4.1 to submit the Application on behalf of the Applicant. If the Applicant is a JV, the Power of Attorney shall be provided by the Lead Member nominated in the JV Agreement or in the Declarations of Association, submitted in accordance with ITA 4.1. If the representative of the Applicant is the owner, member or director of the Applicant or the Applicant's Lead Member, if so nominated in accordance with ITA 4.1, a Power of Attorney shall not be necessary.
- (c) Presentation of the Applicant (maximum 10 pages, no brochures). If the Applicant is a single entity, the Presentation shall describe the Applicant's type of entity, ownership structure and organization chart, as well as its main business areas as they apply to the project. If the Applicant is a JV, the Presentation shall provide this information about each JV member as well as a description of the intended form of collaboration of the members within the JV. Where the Applicant's qualifications to carry out the assignment have been acquired as a result of a merger or acquisition, the presentation must include a detailed business history of the Applicant.
- (d) Statements and Declarations: False information provided in the following Statements and Declarations by the Applicant or in the case of an JV by any of the JV members shall lead to the exclusion of the Applicant from the tender process:
 - (I) If the Applicant is an existing JV, the Applicant shall submit a proof of the existing Association Agreement, indicating the Lead Member. If the Applicant is a JV, which the members intend to form for the purpose of executing the contract, each member of the association shall submit a Declaration of Association, indicating the Lead Member, in the format provided in Annex Section IV, Application Forms.
 - (II) Declaration of Undertaking in the format provided in Section IV, Application Forms. If the Applicant is a JV, only one Declaration of Undertaking must be submitted, i.e. the representative of the JV can sign on behalf of the JV subject to a power of attorney.
 - (III) Financial Capacity Statement in the format provided in Section IV, Application Forms and supported by the Applicant's Balance Sheets and Profit and Loss Statements. If the Applicant is a JV, separate statements, including the supporting Balance Sheets and Profit and Loss Statements, shall be provided by each member of the JV. All Balance Sheets and Profit and Loss Statements shall be certified by a reputable auditor.
 - (IV) List of project references in the format provided in Section IV, Application Forms. Unless otherwise stated in the **PDS** the references shall be limited to a maximum of 10 projects carried out during the 5 years preceding the publication of this prequalification document. The Employer reserves the right to contact the clients indicated in the references to ascertain the information provided by the Applicant.
 - (V) List of Available Expertise and Human Resource Capacity in the format provided in Section IV, Application Forms.
- (e) All Application forms and required attachments, provided in Section IV, Application Forms. If the Applicant is a single entity, in accordance with ITA 4.1, it should not include form ELI-1.2 (a) and (b) in its Application.
- (f) Any other documents required in the **PDS**.

- 11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.
- 12. Application Submission Form** 12.1 The Applicant shall complete an Application Submission Form as provided in Section IV, Application Forms. This Form must be completed without any alteration to its format.
- 13. Documents Establishing the Eligibility of the Applicant** 13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete and sign the Declaration of Undertaking as provided in Section IV, Application Forms without any alteration to its format and furnish supporting documentation as specified in Forms ELI-1.1, ELI-1.2 (a) and ELI-1.2 (b).
- 14. Documents Establishing the Qualifications of the Applicant** 14.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification and Evaluation, the Applicant shall provide the information requested in the corresponding Forms included in Section IV, Application Forms.
- 15. Signing of the Application and Number of Copies** 15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV, designated in accordance with ITA 4.1, on behalf of the JV.
- 15.2 The Applicant shall submit copies of the signed original Application, in the number specified in the **PDS**, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

- 16. Sealing and Identification of Applications** 16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
- (a) bear the name and address of the Applicant;
 - (b) be addressed to the Employer, in accordance with ITA 17.1; and
 - (c) bear the specific identification of this prequalification process indicated in the **PDS** 1.1.
- 16.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.
- 17. Deadline for Submission of Applications** 17.1 Applicants may either submit their Applications by mail or by hand. Applications shall be submitted in accordance with the instructions, including the address and deadline, stipulated in the **PDS**.
- 17.2 The Employer may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Documents in accordance with ITA 8, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.
- 18. Late Applications** 18.1 Late Applications received after the deadline indicated in ITA 17.1 will be rejected.
- 19. Opening of Applications** 19.1 The Employer shall open all Applications at the date, time and place specified in the **PDS**. Late Applications shall be treated in accordance with ITA 18.1.
- 19.2 The Employer shall prepare a record of the opening of Applications, which shall include, as a minimum, the name of the Applicant and whether the Application has been received in time.

E. Procedures for Evaluation of Applications

- 20. Confidentiality**
- 20.1 Information relating to the Applications, their evaluation and result shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of prequalification results is made to all Applicants in accordance with ITA 28.
- 20.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Employer on any matter related to the prequalification process (except as specified in ITA 20.1 above), may do so only in writing.
- 21. Clarification of Applications**
- 21.1 To assist in the evaluation of Applications, the Employer may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Employer and all clarifications from the Applicant shall be in writing.
- 21.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Employer's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.
- 22. Responsiveness of Applications**
- 22.1 The Employer shall reject an Application that is not substantially responsive to the requirements of this prequalification document. An Application shall be considered as responsive if the following documents are submitted:
- Responsiveness criteria:
1. Declaration of Undertaking (ITA 11.1 d) (II));
 2. Financial Capability Statements and supporting documentation (ITA 11.1 d) (III))
 3. Application Submission Form (ITA 11.1 a);
 4. Power(s) of Attorney authorizing the representative of the Applicant (ITA 11.1 b);
 5. If the Applicant is an association, either proof of the existing Association Agreement or a Declaration of Association (ITA 11.1 d) (I)).
- 23. Domestic Bidder Price Preference**
- 23.1 A margin of preference for domestic bidders shall not apply in the bidding process resulting from this prequalification unless otherwise specified in the **PDS**.
- 24. Subcontractors**
- 24.1 Unless otherwise stated in the **PDS**, the Employer does not intend to execute any specific elements of the Works by subcontractors selected in advance by the Employer (nominated subcontractors).
- 24.2 A "specialized sub-contractor" is a sub-contractor hired for specialized work as defined by the Employer in Section III 4.2, Experience. If no specialized work is specified by the Employer as such, sub-contractors experience shall not be considered for Applications evaluation.

F. Evaluation of Applications and Prequalification of Applicants

- 25. Evaluation of Applications**
- 25.1 The Employer shall evaluate the responsive Applications using the factors, methods, criteria, and requirements defined in Section III, Qualification and Evaluation, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used.
- 25.2 Only the qualifications of the Applicant shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the

Applicant under a JV in accordance with ITA 4.1 shall not be considered.

- 25.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contracts in which they are interested. The Employer shall prequalify each Applicant for the maximum combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements. The Qualification and Evaluation are mentioned in Section III.
- 26. Employer's Right to Reject All Applications**
- 26.1 The Employer reserves the right to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 27. Prequalification of Applicants**
- 27.1 The Employer shall assess the responsive Applications in terms of the Eligibility and Qualification Criteria and methods set out in Section III, Qualification and Evaluation.
- 27.2 The Employer shall determine the fulfilment of minimum requirements on a pass / fail basis as per Section III, Qualification and Evaluation.
- 27.3 The Employer shall assign a numeric score to each of the sub-criteria, for which a maximum score is provided in accordance with ITA 27.1. All Sub-criteria Scores shall be summed to determine the Applicant's Pre-Qualification Score.
- 27.4 For the purposes of scoring individual Qualification Criteria in accordance with ITA 27.3 the Employer shall apply the following qualitative approach:
- (a) 100% of the max. score: Excellent, if the Application substantially exceeds the requirement in accordance with the respective sub-criterion. No errors or omissions are noted.
 - (b) 75% of the max. score: Good, if the Application meets or marginally exceeds the requirement in accordance with the respective sub-criterion. Minor errors or omissions noted;
 - (c) 50% of the max. score: Unsatisfactory, if the Application marginally falls short of the requirement in accordance with the respective sub-criterion. Major errors or omissions noted;
 - (d) 25% of the max. score: Poor, if the Application substantially deviates from or indicates misunderstanding of the requirement in accordance with the respective sub-criterion. Major errors or omissions are noted comprising the fulfilment of the sub-criterion;
 - (e) 0% of the max. score: Insufficient / fail, if the Application does not meet the requirement at all in accordance with the respective sub-criterion, or does not provide any information regarding the requirement.
- 27.5 An Applicant shall be considered prequalified if:
- (a) its Application is considered responsive in accordance with ITA 22.1 and;
 - (b) its Application has met the pass/fail requirements in accordance with ITA 27.2 and;
 - (c) the Application scored at least 70 points out of 100 points in accordance with ITA 27.3.
- 28. Notification of Prequalification**
- 28.1 The Employer shall notify all Applicants in writing of the names of those Applicants who have been prequalified. In addition, those Applicants who have been disqualified will be informed separately.
- 28.2 Applicants that have not been prequalified may write to the Employer to request, in writing, the grounds on which they were disqualified.
- 29. Invitation for Bids**
- 29.1 Promptly after the notification of the results of the prequalification, the

Employer shall invite bids from all the Applicants that have been prequalified.

**30. Changes in
Qualifications of
Applicants**

29.2 Bidders may be required to provide a Bid Security acceptable to the Employer in the form and an amount to be specified in the Bidding Documents, and the successful Bidder shall be required to provide a Performance Security as specified in the Bidding Documents.

30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to bid (including, in the case of a JV, any change in the structure or formation of any member thereto) shall be subject to the written approval of the Employer prior to the deadline for submission of bids. Such approval shall be denied if (i) as a consequence of the change, the Applicant no longer meets the qualification criteria set forth in Section III, Qualification and Evaluation; or (ii) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Invitation for Bids.

Section II Prequalification Data Sheet

A. General

- ITA 1.1** The Employer is: **Conselho Municipal de Maxixe**
 Attention: Mr. Stelio Alfredo Ferrão, Alderman
 Address: Esquina entre Avenidas Eduardo Mondlane e Heróis Moçambicanos
 Bairro Chambone “B”
 Maxixe
 Country: Republic of Mozambique
 Electronic mail address: cmcmax0103@gmail.com, ferraoyandissa@gmail.com
 The list of contracts (lots) comprising the ICB is:
Maxixe
 ICB No.: **BMZ ID: 2018 67 472 | KfW No. 513271**
 The name of the Project is: **Coastal Cities as Sustainable Economic Hubs**
- ITA 4.1** Maximum number of members in the JV shall be: **limited to max. 3 members**
- ITA 4.3** Applicants shall be **registered** and **have a contractor’s licence in their country of origin**.
 Applicants based in Mozambique shall have an **Alvará de Empreiteiro de Obras Públicas 7ª Classe – Categoria III (Subcategorias 1ª, 4ª, 5ª e 10ª) e Categoria V (Subcategorias 3ª e 8ª)**.

B. Contents of the Prequalification Documents

- ITA 7.1** Clarification requests from registered Applicants shall be made using the respective section in the e-procurement platform.
 Responses will be posted for registered Applicants under the respective procurement on **www.exfitender.de**
- ITA 8.2** Addenda (if any) will be posted for registered Applicants under the respective procurement on **www.exfitender.de**

C. Preparation of Applications

- ITA 10.1** The Application as well as all correspondence shall be submitted **in English**.
 Language for translation of supporting documents and printed literature **is English**.
- ITA 11.1 (f)** The Applicant shall submit with its Application, the following additional documents: **none**
- ITA 15.1** Delete and replace with:
 The Applicant shall prepare one or several electronic files of the documents comprising the Application as described in ITA 11. All files shall be clearly named according to the content prior uploading onto the e-procurement platform. Where signatures etc. are required the Applicant shall first print the completed document and sign the document by a person duly authorized to sign on behalf of the Applicant, before scanning for uploading or shall sign electronically. In case the Applicant is a JV, the Application shall be signed by an authorized

representative of the JV, designated in accordance with ITA 4.1, on behalf of the JV.

ITA 15.2 Deleted.

D. Submission of Applications

ITA 16.1 The application shall be submitted electronically using e-procurement.

Applicants shall register (free of charge) and create an individual user profile on the KfW-approved **e-procurement system exfitender** (www.exfitender.de), owned and operated by exficon GmbH, Frankfurt, Germany. The integrated search function of the system will allow Applicants to find the respective tender easily. Applicants can sign up for a tender by accessing the tender detail page and click on the registration button. The Employer and Consultant will be notified of an Applicant's registration (or withdrawal at a later stage).

Between registration and the expiry of the deadline, the Applicant may upload application documents anytime.

Detailed information and step-by-step user manuals and help videos are provided at: <https://exficon.de/tad/e-procurement-2/>.

The application document shall be uploaded as searchable pdf-file(s), suitable for character recognition. The documents must neither be scan- nor password protected. Declarations and proof documents (such as balance sheets, etc.) which required signatures, can be uploaded either as scans of signed documents or as electronically signed documents.

The submission of an Application as hard copy or by email is not accepted and will lead to the disqualification of the Application.

ITA 17.1 The Application shall be uploaded electronically only (e-procurement). The electronic Application containing the complete required documentation shall be uploaded not later than:

Date: **08-April-2026**

Time: **10:30 hours local time (UTC+2)**

ITA 19.1 The opening of the Applications shall be:

Date: **08-April-2026**

Time: **10:30 hours local time (UTC+2)**

ITA 19.2 The "record of the opening" will be uploaded onto the e-procurement platform by the Employer and downloadable for all registered Applicants within 7-days of the submission date.

E. Procedures for Evaluation of Applications

ITA 23.1 A margin of preference **shall not apply**.

ITA 24.1 At this time the Employer **does not intend** to execute certain specific parts of the Works by subcontractors selected in advance (nominated subcontractors).

Section III Qualification and Evaluation

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

Wherever an Applicant is required to state a monetary amount, Applicants should indicate the EUR equivalent using the rate of exchange determined as follows:

- For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year.
- Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available rate published by the Central Bank of the **Republic of Mozambique**. Any error in determining the exchange rates in the Application may be corrected by the Employer.

In case an Applicant is submitting Applications for both projects under **Coastal Cities as Sustainable Economic Hubs** (KfW No. 513176 Inhambane and KfW No. 513271 Maxixe), then the cumulative monetary amounts of criteria 3.1, 3.2 and 3.4 are to be fulfilled.

Criterion 5 of this section defines the environmental, social, health and safety (ESHS) requirements in accordance with the specific ESHS challenges of the contract.

No.	Subject	Criteria Requirement	Single Entity	Requirements / Max. Scores			Documentation Requirements / Forms
				Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
1. Eligibility							
1.1	Nationality	Nationality in accordance with ITA 4.3.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI-1.1, 1.2(a) and 1.2(b), with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 4.4.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
1.3	KfW Eligibility	Not being ineligible for KfW financing, as described in ITA 4.3.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Declaration of Undertaking
1.4	Government-Owned Entity	Meet conditions of ITA 4.3.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI-1.1, 1.2(a) and 1.2(b), with attachments
2. Historical Contract Non-Performance							
2.1	History of Non-Performing Contracts	Termination of a contract did not occur as a result of contractor's default in the past five (5) years.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid Securing Declaration by the Employer	Not under suspension based on execution of a Bid Securing Declaration pursuant to ITA 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
2.3	Pending Litigation	All pending litigation shall in total not represent more than one hundred percent (100%) of the Applicant's net worth and shall be treated as resolved against the Applicant.	Must meet requirement	N/A	Must meet requirement	N/A	Form CON-2

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
			All Parties Combined	Each Member	One Member		
3. Financial Situation and Performance							
3.1	Financial Capabilities: Liquidity	(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as: EUR 250,000 (two hundred fifty thousand Euro) for the subject contract(s) net of the Applicants other commitments.	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN-3.1 with attachments and FIN-3.3
3.2	Financial Capabilities: Other Sources of Finance	(ii) The Applicant shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.	Must meet requirement	Must meet requirement	N/A	N/A	Forms FIN-3.1 and FIN-3.4

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
3.3	Financial Capabilities: Financial Position	(iii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the years 2022, 2023 and 2024 shall be submitted and must demonstrate the soundness of the Applicant's financial position based on the following criteria: a) Liquidity ratio ≥ 1.0 $\frac{\text{Current Assets}}{\text{Current Liabilities}} \geq 1.0$ b) Indebtedness ratio $\leq 100\%$ $\frac{\text{Total Liabilities} * 100}{\text{Total Assets}} \leq 100\%$	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN-3.1 with attachments
3.4	Average Annual Construction Turnover	Minimum annual average construction turnover of: EUR 1.5 million (one million five hundred thousand Euro) for the years 2022, 2023 and 2024 , calculated as total certified annual payments received for contracts in progress and/or completed.	Must meet requirement	Must meet requirement	Must meet twenty five percent [25%] of the requirement	Must meet fifty percent [50%] of the requirement	Form FIN-3.2
4. Construction Experience							
4.1	General Construction Experience	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last 5 years, starting 1st January 2020 .	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP-4.1

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
4.2 (a)	Specific Construction & Contract Management Experience	Similar ² contracts, satisfactorily and substantially ³ completed as a prime contractor, joint venture member ⁴ , management contractor or subcontractor ⁴ between 1st January 2015 and the application submission deadline , and of minimum value EUR 1.5 million (one million five hundred thousand Euro)	Must meet requirement	Must meet requirements	N/A	Must meet fifty percent [50%] of the requirement	Form EXP-4.2 (a)
4.2 (b)	Construction Experience in key activities	For the above or any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or subcontractor ⁴ on or after the first day of the calendar year during the period stipulated in 4.2(a) above, a minimum construction experience in the following key activities successfully completed ⁵ : <ul style="list-style-type: none"> - Coastal protection / harbour works; - Protection and reforestation of mangroves; - Urban infrastructure development / road construction; - Projects in environmentally restricted areas; and/or - Sanitary sewer construction, drainage projects. 	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP-4.2 (b)

² The similarity shall be based on the physical size, complexity, methods / technology and/or other characteristics described in Section VII, Scope of Works. Summation of number of small value contracts (less than the value specified under requirement) to meet the overall requirement will not be accepted.

³ Substantial completion shall be based on 80% or more works completed under the contract.

⁴ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, shall be considered to meet this requirement.

⁵ Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period. The rate of production shall be the annual production rate for the key construction activity (or activities).

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
			All Parties Combined	Each Member	One Member		
5. Environmental and Social and Health and Safety (ESHS) Experience and Capacity							
5.1	Certificates	Availability of a valid ISO certification as below or internationally recognized equivalent (equivalence to be demonstrated by the Applicant) <ul style="list-style-type: none"> - Quality management certificate ISO 9001; - Environmental management certificate ISO 14001:2014; - Health and Safety certificate ISO 45001. 	Must meet requirement	N/A	Must meet requirement, if part in JV is substantial (more than forty percent (40%) of the works)	Must meet requirement	Form CER-5.1
5.2	Experience in Projects with significant ESHS Impact	For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or subcontractor ⁶ on or after the first day of the calendar year during the period stipulated in 4.2(a) above, a minimum specific experience relating to the following ESHS requirements ⁶ : <ul style="list-style-type: none"> - Implementation of site safety on construction sites; - Implementation of waste management; - Handling air emissions, noise and traffic; - Implementation of site rehabilitation and revegetation; - Rehabilitation and/or reforestation of mangroves; - Provision of first aid and medical evacuation for staff. 	Max. 30 points	Max. 30 points ⁷	N/A	Must have executed at least 3 contract(s) demonstrating experience with the key ESHS requirements as listed in the requirements column.	Form ESHS-5.2

⁶ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, shall be considered to meet this requirement.

⁷ Members will be scored if their part in the JV is substantial (more than forty percent (40%) of the works). Individual member scores will be averaged.

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
5.3	Environmental Capacity	The Applicant must demonstrate that its business setup and operations meet the minimum requirements towards an effective environmental management system: The qualitative assessment of the Applicant's compliance will be carried out in terms of the scoring method described in ITA 27.4. The sub-criterion score will be calculated by adding the requirement scores.	Max. 10 points	Max. 10 points ⁷	N/A	N/A	Form ENV-5.3
5.4	Occupational Health and Safety Capacity	The Applicant must demonstrate that its business setup and operations meet the minimum requirements towards an effective health and safety management system. For assessment and scoring see note in 5.3 above.	Max. 10 points	Max. 10 points ⁸	N/A	N/A	Form OHSAS-5.4
5.5	Socially Responsible Work Implementation	The Applicant must demonstrate a comprehensive understanding of the requirements regarding work site implementation and operation. For assessment and scoring see note in 5.3 above.	Max. 20 points	Max. 20 points ⁸	N/A	N/A	Form LOC-5.5
5.6 (a)	ILO Core Labour Standards	Undertaking to fully respect the ILO Core Labour Standards in the Applicant's business practice.	Must meet requirement	N/A	Must meet requirement	N/A	Application Submission Form (f) and form COC-5.6

⁸ Members will be scored if their part in the JV is substantial (more than forty percent (40%) of the works). Individual member scores will be averaged.

No.	Subject	Criteria Requirement	Requirements / Max. Scores			Documentation Requirements / Forms	
			Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
5.6 (b)	Ethical business principles	The Applicant must demonstrate that its business setup and operations meet the minimum requirements towards ethical Business principles. For assessment and scoring see note in 5.3 above.	Max. 10 points	Max. 10 points ⁸	N/A	N/A	Form COC-5.6
5.7	ESHS and Construction Personnel	The Applicant must demonstrate that it has access to adequate candidates for the ESHS personnel profiles, listed in Section VII, Scope of Works. The candidates must fulfil the minimum specific profile requirements and the general requirements (if any). Combining several candidates to fulfil one candidate profile is not allowed. Providing multiple candidates for a single profile is allowed. For assessment and scoring see note in 5.3 above.	Max. 20 points	Max. 20 points	N/A	N/A	PER-5.7

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Application Submission Sheet

Date: *[insert day, month, year]*

BMZ ID: 2018 67 472 | KfW No. 513271

For Maxixe

To: *[insert full name of Employer]*

We, the undersigned, apply to be prequalified for the referenced ICB and declare that:

- (a) we have examined and have no reservations to the prequalification documents, including Addenda No., issued in accordance with ITA Clause 8: *[insert the number and issuing date of each addenda]*;
- (b) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification process, have nationalities from eligible countries, in accordance with ITA Sub-Clause 4.3: *[insert the nationality of the Applicant, including that of all parties in case of a JV, and the nationality of each already identified subcontractor and supplier of related services, if applicable]*;
- (c) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification do not have any conflict of interest, in accordance with ITA Sub-Clause 4.4;
- (d) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, have not been declared ineligible by KfW, and/or we are not subject to sanction from either Germany, the European Union or the United Nations in accordance with the lists of exclusion established by these institutions regarding fight against terrorism in accordance with ITA Sub-Clause 4.3;
- (e) *[we are not a government owned entity, or, we meet the requirements of ITA Sub-Clause 4.1]*;
- (f) we, including any major subcontractors and suppliers declare that we fully respect ILO Core Labour Standards in our business practice in accordance with ITA Sub-Clause 4.3 and Form COC-5.6;
- (g) we, in accordance with ITA Sub-Clause 24.1, plan to subcontract the following key activities and/or parts of the works: *[insert any of the key activities identified in Section III-4.2 which the Applicant intends to subcontract]*;
- (h) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Applicants, in accordance with ITA Clause 26.

Signed *[insert signature of the Applicant's representative, authorized in accordance with ITA 4.1, whose name and capacity are shown below]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert legal capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of:

Applicant's Name *[insert full name of Applicant]*

Address *[insert street number / town or city / country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*.

Attachments:

Power of Attorney, authorizing the Applicant's representative to act for and on behalf of the Applicant, in accordance with ITA 4.1.

Declaration of Undertaking

Reference name of the Application / Offer / Contract: ("Contract")⁹

To: ("Project Executing Agency")

1. We recognise and accept that KfW only finances projects of the Project Executing Agency ("PEA")¹⁰ subject to its own conditions which are set out in the Funding Agreement it has entered into with the PEA. As a matter of consequence, no legal relationship exists between KfW and our company, our Joint Venture or our Subcontractors under the Contract. The PEA retains exclusive responsibility for the preparation and implementation of the Tender Process and the performance of the Contract.
2. We hereby certify that neither we nor any of our board members or legal representatives nor any other member of our Joint Venture including Subcontractors under the Contract are in any of the following situations:
 - 2.1) being bankrupt, wound up or ceasing our activities, having our activities administered by courts, having entered into receivership, reorganisation or being in any analogous situation;
 - 2.2) convicted by a final judgement or a final administrative decision or subject to financial sanctions by the United Nations, the European Union or Germany for involvement in a criminal organisation, money laundering, terrorist-related offences, child labour or trafficking in human beings; this criterion of exclusion is also applicable to legal Persons, whose majority of shares are held or factually controlled by natural or legal Persons which themselves are subject to such convictions or sanctions;
 - 2.3) having been convicted by a final court decision or a final administrative decision by a court, the European Union, national authorities in the Partner Country or in Germany for Sanctionable Practice in connection with a Tender Process or the performance of a Contract or for an irregularity affecting the EU's financial interests (*in the event of such a conviction, the Applicant or Bidder shall attach to this Declaration of Undertaking supporting information showing that this conviction is not relevant in the context of this Contract and that adequate compliance measures have been taken in reaction*);
 - 2.4) having been subject, within the past five years to a Contract termination fully settled against us for significant or persistent failure to comply with our contractual obligations during such Contract performance, unless this termination was challenged and dispute resolution is still pending or has not confirmed a full settlement against us;
 - 2.5) not having fulfilled the applicable fiscal obligations with regard to the payment of taxes at the respective tax residence and in the country of origin of the PEA (*contractors based in Annex 1 countries (https://www.consilium.europa.eu/de/policies/eu-list-of-non-cooperative-jurisdictions/) must submit a fully completed and legally countersigned declaration of tax conformity (Appendix 1 to the Declaration of Undertaking) in addition to the Declaration of Undertaking at the time of award of the contract/contract review. This shall become an integral part of the contract. Failure to submit may result in exclusion from the awarding procedure. For contractors based in countries not listed as Annex I countries, only the Declaration of Undertaking must be submitted, and not the declaration of tax conformity*);
 - 2.6) being subject to an exclusion decision of the World Bank or any other multilateral development bank and being listed on the website <http://www.worldbank.org/debarr> or respectively on the relevant list of any other multilateral development bank (*in the event of such exclusion, the Applicant or Bidder shall attach to this Declaration of Undertaking supporting information showing that this exclusion is not relevant in the context of this Contract and that adequate compliance measures have been taken in reaction*); or
 - 2.7) being guilty of misrepresentation in supplying the information required as condition to participation in this Tender Procedure.

⁹ Capitalised terms used, but not otherwise defined in this Declaration of Undertaking have the meaning given to such term in KfW's "Guidelines for the Procurement of Consulting Services, Works, Plant, Goods and Non-Consulting Services in Financial Cooperation with Partners, Document version January 2019, 1. Update as of January 2021", as available on their website, <https://www.kfw-entwicklungsbank.de/PDF/Download-Center/PDF-Dokumente-Richtlinien/FZ-Vergaberichtlinien-V-2021-EN.pdf>

¹⁰ The PEA means the purchaser, the employer, the client, as the case may be, for the procurement of Consulting Services, Works, Plant, Goods or Non-Consulting Services.

3. We hereby certify that neither we, nor any of the members of our Joint Venture or any of our Subcontractors under the Contract are in any of the following situations of conflict of interest:
 - 3.1) being an affiliate controlled by the PEA or a shareholder controlling the PEA, unless the stemming conflict of interest has been brought to the attention of KfW and resolved to its satisfaction;
 - 3.2) having a business or family relationship with a PEA's staff involved in the Tender Process or the supervision of the resulting Contract, unless the stemming conflict of interest has been brought to the attention of KfW and resolved to its satisfaction;
 - 3.3) being controlled by or controlling another Applicant or Bidder, or being under common control with another Applicant or Bidder, or receiving from or granting subsidies directly or indirectly to another Applicant or Bidder, having the same legal representative as another Applicant or Bidder, maintaining direct or indirect contacts with another Applicant or Bidder which allows us to have or give access to information contained in the respective Applications or Offers, influencing them or influencing decisions of the PEA;
 - 3.4) being engaged in a Consulting Services activity, which, by its nature, may be in conflict with the assignments that we would carry out for the PEA;
 - 3.5) in the case of procurement of Works, Plant or Goods:
 - i. having prepared or having been associated with a Person who prepared specifications, drawings, calculations and other documentation to be used in the Tender Process of this Contract;
 - ii. having been recruited (or being proposed to be recruited) ourselves or any of our affiliates, to carry out works supervision or inspection for this Contract;
4. If we are a state-owned entity, and compete in a Tender Process, we certify that we have legal and financial autonomy and that we operate under commercial laws and regulations.
5. We undertake to bring to the attention of the PEA, which will inform KfW, any change in situation with regard to points 2 to 4 here above.
6. In the context of the Tender Process and performance of the corresponding Contract:
 - 6.1) neither we nor any of the members of our Joint Venture nor any of our Subcontractors under the Contract have engaged or will engage in any Sanctionable Practice during the Tender Process and in the case of being awarded a Contract will engage in any Sanctionable Practice during the performance of the Contract;
 - 6.2) neither we nor any of the members of our Joint Venture or any of our Subcontractors under the Contract shall acquire or supply any equipment nor operate in any sectors under an embargo of the United Nations, the European Union or Germany; and
 - 6.3) we commit ourselves to complying with and ensuring that our Subcontractors and major suppliers under the Contract comply with international environmental and labour standards, consistent with laws and regulations applicable in the country of implementation of the Contract and the fundamental conventions of the International Labour Organisation¹¹ (ILO) and international environmental treaties. Moreover, we shall implement environmental and social risks mitigation measures when specified in the relevant environmental and social management plans or other similar documents provided by the PEA and, in any case, implement measures to prevent sexual exploitation and abuse and gender-based violence.
7. In the case of being awarded a Contract, we, as well as all members of our Joint Venture partners and Subcontractors under the Contract will, (i) upon request, provide information relating to the Tender Process and the performance of the Contract and (ii) permit the PEA and KfW or an auditor appointed by either of them, and in the case of financing by the European Union also to European institutions having competence under European Union law, to inspect the respective accounts, records and documents, to permit on the spot checks and to ensure access to sites and the respective project.
8. In the case of being awarded a Contract, we, as well as all our Joint Venture partners and Subcontractors under the Contract undertake to preserve above mentioned records and documents in accordance with applicable law, but in any case for at least six years from the date of fulfilment or termination of the Contract.

¹¹ In case ILO conventions have not been fully ratified or implemented in the Employer's country the Applicant / Bidder / Contractor shall, to the satisfaction of the Employer and KfW, propose and implement appropriate measures in the spirit of the said ILO conventions with respect to a) workers grievances on working conditions and terms of employment, b) child labour, c) forced labour, d) worker's organisations and e) non-discrimination.

Our financial transactions and financial statements shall be subject to auditing procedures in accordance with applicable law. Furthermore, we accept that our data (including personal data) generated in connection with the preparation and implementation of the Tender Process and the performance of the Contract are stored and processed according to the applicable law by the PEA and KfW.

Name: _____ In the capacity of: _____

Duly empowered to sign in the name and on behalf of ¹²: _____

Signature: _____ Date: _____

¹² In the case of a JV, insert the name of the JV. The person who will sign the application, bid or proposal on behalf of the Applicant / Bidder shall attach a power of attorney from the Applicant / Bidder.

APPENDIX 1**DECLARATION OF TAX CONFORMITY – Binding confirmation for legal persons**

Name of company

I hereby confirm with my signature that:

1. I am authorised to make this declaration on behalf of the above company;
2. the company properly pays all taxes in accordance with the tax laws of the country in which the company is domiciled;
3. the company is not currently nor has been in the past involved in any legal proceedings concerning the taxation of the company;
4. the company will duly pay taxes that may arise from the provision of contracted services;
5. all information and statements provided in advance are complete, accurate in terms of content and currently correct.

.....
(Place)

.....
(Date)

.....
(Name of the consultant)

.....
(Signature(s))

APPENDIX 1**DECLARATION OF TAX CONFORMITY – Binding confirmation for natural persons**

I hereby confirm with my signature that:

1. I make this declaration in my name/on my own account;
2. I duly pay taxes that I am obliged to pay under the tax law of my country of residence;
3. I am not currently involved in tax law court proceedings, nor have I been in the past;
4. I will duly pay taxes that may arise from the provision of contracted services;
5. I have filled in all the information and statements of this confirmation in full, accurately in terms of content and that they are up to date at this time.

.....
(Place)

.....
(Date)

.....
(Name of the person)

.....
(Signature)

Form ELI-1.1 Applicant Information Form

Date:

BMZ ID: 2018 67 472 | KfW No. 513271

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Information	Data
Applicant's legal name:	<i>[insert full legal name]</i>
In case of JV, legal name of each partner:	<i>[insert full legal name of each partner in JV; indicate type of partnership (Joint Venture, Consortium, Association)]</i>
Applicant's actual or intended country of constitution:	<i>[indicate country of constitution]</i>
Applicant's actual or intended year of constitution:	<i>[indicate year of constitution]</i>
Applicant's legal address in country of constitution:	<i>[insert street / number / town or city / country]</i>
Applicant's legal structure and ownership structure	
Legal structure:	<i>[provide details]</i>
Ownership structure:	<i>[provide details of direct and indirect ownership]</i>
Applicant's authorized representative information	
Name:	<i>[insert full legal name]</i>
Address:	<i>[insert street / number / town or city / country]</i>
Telephone / mobile / fax numbers:	<i>[insert telephone / mobile / fax numbers, including country and city codes]</i>
E-mail address:	<i>[indicate e-mail address]</i>

Attached the following documents:

1. General presentation of the Applicant (name, legal structure, business areas, subsidiaries and shareholdings, number of staff, etc.).
2. Copies of original documents of:
 - Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.1.
 - In case of JV, JV agreement, in accordance with ITA 4.1 or Declaration of Association as per ELI 1.2(b).
 - In case of Government-owned enterprise or institution, in accordance with ITA 4.3 documents establishing:
 - Legal and financial autonomy;
 - Operation under commercial law;
 - Establishing that the Applicant is not a dependent agency of the Employer.
3. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Signed _____

Name _____

For and on behalf of
[name of the Applicant or JVCA partner]

Form ELI-1.2 (a) Applicant's JV Information Form

[The following table shall be filled by each member of a JV and, if applicable, by any specialized subcontractor]

Date:

BMZ ID: 2018 67 472 | KfW No. 513271

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Each Applicant that is a JV Party and each main subcontractor in accordance with ITA 24 must submit this information.

Information	Data
Applicant's legal name:	[insert full legal name]
Applicant's JV member's legal name:	[indicate full legal name]
Applicant's JV member's country of registration:	[indicate country of registration]
Applicant JV member's year of constitution:	[indicate year of constitution]
Applicant JV Member's legal address in country of constitution:	[insert street / number / town or city / country]
Applicant JV Member's legal structure and ownership structure	
Legal structure:	[provide details]
Ownership structure:	[provide details of direct and indirect ownership]
Applicant JV Member's authorized representative information	
Name:	[insert full legal name]
Address:	[insert street / number / town or city / country]
Telephone / mobile numbers:	[insert telephone / mobile numbers, including country and city codes]
E-mail address:	[indicate e-mail address]

1. General Presentation of the Applicant (name, legal structure, business areas, subsidiaries and shareholdings, number of staff, etc.).
2. Attached are copies of original documents of:
 - Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.1.
 - In case of JV, JV agreement, in accordance with ITA 4.1 or Declaration of Association as per ELI 1.2(b).
 - In case of Government-owned enterprise or institution, in accordance with ITA 4.3 documents establishing:
 - - Legal and financial autonomy;
 - - Operation under commercial law;
 - - Establishing that the Applicant is not a dependent agency of the Employer.
3. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Signed _____

Name _____

For and on behalf of
[name of the Applicant or JVCA partner]

Form ELI-1.2 (b) Declaration of Association

[The following form shall be provided by each member of a Joint Venture and, if applicable, by any specialized subcontractor, nominated in accordance with ITA 24]

Date:

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We hereby declare our intent to associate with the following firms for the purpose of forming a *[insert here "joint venture"]*:

[Insert the names of the other JV Members here]

[Insert the name of the Lead Member] shall be the Lead Member.

We hereby confirm that we have not associated with any other firms for the purposes of this assignment and that we will not submit an Application separately from the firms listed above. Further, we understand that if one of the above JV Members appears as a member in more than one Application, all Applications in which the Member appears shall be disqualified.

In the event that this JV is awarded a Contract, we shall perform the works in the composition and in the form of cooperation described above.

[Signature of the authorised representative of the Member]

Form CON-2 Historical Contract Non-Performance, Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name:

Date:

JV Member Name:

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Non-Performing Contracts in accordance with Section III, Qualification and Evaluation

- Contract non-performance did not occur since **1st January 2020** specified in Section III, Qualification and Evaluation, sub-factor 2.1.
- Contract(s) not performed since **1st January 2020** specified in Section III, Qualification and Evaluation, requirement 2.1.

Year	Non performed portion of contract	Contract Identification	Total Contract Amount [current value, currency exchange rate and EUR equivalent]
		Contract Identification: Name of Employer: Address of Employer: Reason(s) for non-performance:	
		Contract Identification: Name of Employer: Address of Employer: Reason(s) for non-performance:	
...

Pending Litigation, in accordance with Section III, Qualification and Evaluation

- No pending litigation in accordance with Section III, Qualification and Evaluation (sub-factor 2.3)
- Pending litigation in accordance with Section III, Qualification and Evaluation (sub-factor 2.3), as indicated below.

Year	Disputed Amount [currency]	Contract Identification	Total Contract Amount [current value, currency exchange rate and EUR equivalent]
[insert year]	[insert amount]	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: [insert full name] Address of Employer: [indicate full address of Employer] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]	[insert amount]

Year	Disputed Amount [currency]	Contract Identification	Total Contract Amount [current value, currency exchange rate and EUR equivalent]
		Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[indicate full address of Employer]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	
...

Form FIN-3.1 Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each JV Member]

Applicant's Name:

Date:

JV Member Name:

BMZ ID: 2018 67 472 | KfW No. 513271

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1. Financial Data

Financial information	Historic information for previous three (3) years		
	[EUR equivalent in 000s]*		
	2022	2023	2024
Information from Balance Sheet			
Total Assets (TA)			
Total Liabilities (TL)			
Total Equity / Net Worth (NW)			
Current Assets (CA)			
Current Liabilities (CL)			
Working Capital (WC)			
Information from Income Statement			
Total Revenue (TR)			
Profits Before Taxes (PBT)			
Profits After Taxes (PAT)			
Cash Flow Information			
Cash Flow from Operating Activities			

2. Financial documents

The Applicant and its parties shall provide copies of financial statements for *[number]* years pursuant Section III, Qualification and Evaluation, sub-factor 3.1. The financial statements shall:

- reflect the financial situation of the Applicant or JV member, and not an affiliated entity (such as parent company or subsidiary).
- be independently audited or certified in accordance with local legislation.
- be complete, including all notes to the financial statements.
- correspond to accounting periods already completed and audited.

Attached are copies of financial statements ¹³ for the *[number]* years required above; and complying with the requirements.

¹³ If the most recent set of financial statements is for a period earlier than 12 months from the date of application, the reason for this should be justified.

Form FIN-3.2 Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name:

Date:

JV Member Name:

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Annual turnover data for the last five (5) years (construction only)

Year	Amount and Currency	Exchange Rate*	EUR equivalent
2022	<i>[Insert amount and indicate currency. Include partial accounting for the year up to the date of submission of applications]</i>	<i>[insert based exchange rate for EUR conversion]</i>	<i>[insert amount converted to EUR at the rate of exchange at the end of the period reported]</i>
2023			
2024			

Average Annual Construction Turnover ** _____

* Refer ITA 14 for date and source of exchange rate.

** Total EUR equivalent for all years divided by the total number of years. See Section III, Qualification and Evaluation, clause 3.2.

Form FIN-3.3 Sources of Finance

[The following table shall be filled in for the Applicant and all members combined in case of a Joint Venture]

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as specified in Section III, Qualification and Evaluation.

No.	Source of financing	Amount (EUR equivalent)
1		
2		
3		
...	...	

Form FIN-3.4 Current Contract Commitments / Works in Progress

[The following table shall be filled in for the Applicant and all members combined in case of a Joint Venture]

Applicants and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Current Contract Commitments					
No.	Name of Contract	Employer's Contact Address, Tel / Mobile	Value of Outstanding Work [Current EUR Equivalent]	Estimated Completion Date	Average Monthly Invoicing Over Last Six Months [EUR / month]
1					
2					
3					
4					
5					
...					

Form EXP-4.1 General Construction Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name:

Date:

JV Member Name:

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[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification and Evaluation, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Month / Year	Ending Month / Year	Contract Identification*	Role of Applicant
<i>[indicate month / year]</i>	<i>[indicate month / year]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Services performed by the Applicant: <i>[describe Services performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and EUR equivalent]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country address]</i>	<i>[insert "Prime Contractor", or "Sub-contractor" or "JV Member" or "Management Contractor"]</i>
...	...	Contract name: Brief Description of the Services performed by the Applicant: Amount of contract**: Name of Employer: Address:	...
...	...	Contract name: Brief Description of the Services performed by the Applicant: Amount of contract**: Name of Employer: Address:	...
...	...	Contract name: Brief Description of the Services performed by the Applicant: Amount of contract**: Name of Employer: Address:	...

Form EXP-4.2 (a) Specific Construction and Contract Management Experience

[The following table shall be filled in separately for contracts performed by the Applicant or each member of a Joint Venture]

Applicant's Name:

Date:

JV Member Name:

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Similar Contract No. [insert number] of [insert number of similar contracts required]	Information*			
Contract Identification	[insert contract name and number, if applicable]			
Award date	[insert day, month, year]			
Completion date	[insert day, month, year]			
Role in Contract [check the appropriate box]	Prime Contractor	Member in JV	Management Contractor	Sub-contractor
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Contract Amount	[insert total contract amount in local currency]		EUR [insert exchange rate and total contract amount in EUR equivalent]	
If partner in a JV or Sub-contractor, specify share in value in total Contract amount	[insert a percentage amount]	[insert total contract amount in local currency]	[insert exchange rate and total contract amount in EUR equivalent]	
Employer's Name:				
Name:	[insert full name]			
Address	[indicate street / number / town or city / country]			
Telephone / mobile number	[insert telephone / mobile numbers, including country and city area codes]			
E-mail:	[insert e-mail address, if available]			

Similar Contract No. [insert number] of [number of similar contracts required] *	Information
1. Amount	[insert amount in EUR in words and in figures incl. currency]
2. Physical size of required work items	[insert physical size of items]
3. Complexity	[insert description of complexity]
4. Methods / Technology	[insert specific aspects of the methods / technology involved in the contract]
5. Construction rate for key activities	[insert yearly rates and items]
6. Other Characteristics	[insert other characteristics as described in Section VII "Scope of Works"]

* Description of the similarity in accordance with sub-criterion 4.2 of Section III, Qualification and Evaluation.

Form EXP-4.2 (b) Construction Experience in Key Activities

Applicant's Name:

Date:

JV Member Name:

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1. Key Activity No. One: *[insert brief description of the Activity, emphasizing its specificity]*

Information	Data*			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, e.g., 15 June 2017]</i>			
Completion date	<i>[insert day, month, year, e.g., 03 October 2019]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor	Member JV	Management Contractor	Sub-contractor
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency(ies)]</i>		EUR <i>[insert exchange rate and total contract amount in EUR equivalent]</i>	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year <i>[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]</i>	Total quantity in the contract (i)		Percentage participation (ii)	Actual Quantity Performed (i) x (ii)
Year 1				
Year 2				
Year 3				
Year 4				
Employer's Name:				
Name:	<i>[insert full name]</i>			
Address	<i>[indicate street / number / town or city / country]</i>			
Telephone / mobile number	<i>[insert telephone / mobile numbers, including country and city area codes]</i>			
E-mail:	<i>[insert e-mail address, if available]</i>			
Description of key activities in accordance with sub-factor 4.2(b) of Section III				
...	<i>[insert response to inquiry indicated in left column]</i>			
...				
...				

2. Key Activity No. 2 (two): *[insert brief description of the activity, emphasizing its specificity]*

...

3.

Form CER–5.1 Certification

[insert Quality Management, Environmental Management Certification or Health and Safety Certification]

Applicant's Name:

Date:

JV Member Name:

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Description	Information
Identification of the certificate	<i>[insert full name of the certificate]</i>
First award date	<i>[insert day, month, year of first certificate award]</i>
Last update of the certificate	<i>[insert day, month, year of latest renewal, if any]</i>
Issuers Name	<i>[insert full name]</i>
Address	<i>[insert street / number / town or city / country]</i>
Telephone / mobile number	<i>[insert phone / mobile no., incl. country & city area codes]</i>
E-mail	<i>[insert e-mail address, if available]</i>
Compliance with international standards	ISO 9001: <input type="checkbox"/> Yes / <input type="checkbox"/> No ISO 14001:2004: <input type="checkbox"/> Yes / <input type="checkbox"/> No ISO 45001: <input type="checkbox"/> Yes / <input type="checkbox"/> No
If no, proof of conformity with the international standard by the Applicant	<i>The Applicant shall demonstrate the equivalency of their management systems with the international standards.</i>

Form ESHS–5.2 Experience in Projects with significant ESHS Impact

[The following table shall be filled by the Applicant or in case of a JV the Lead Member. Project references provided here should reflect the ESHS requirements as defined in Section III, 5. If the Applicant is required to demonstrate different ESHS aspects this should be shown separately in the sheet below or in a separate sheet.]

Applicant's Name:

Date:

JV Member Name:

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ESHS Contract No. [insert number] of [insert number of S&E contracts required as per Section III, 5.]	Information			
Contract Identification	[insert contract name and number, if applicable]			
Award date	[insert day, month, year, i.e., 15 June, 2017]			
Completion date	[insert day, month, year, i.e., 03 October, 2019]			
Role in Contract [check the appropriate box]	Prime Contractor	Member JV	Management Contractor	Sub-contractor
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Contract Amount	[insert total contract amount in local currency]		EUR [insert Exchange rate and total contract amount in EUR equivalent]	
If member in a JV or subcontractor, specify participation in total Contract amount	[insert a percentage amount]	[insert total contract amount in local currency]	[insert exchange rate and total contract amount in EUR equivalent]	
Employer's Name:				
Name:	[insert full name]			
Address	[indicate street / number / town or city / country]			
Telephone / mobile number	[insert telephone / mobile numbers, including country and city area codes]			
E-mail:	[insert e-mail address, if available]			

ESHS Contract No. [insert number] of [insert number of ESHS contracts required as per Section III, 5.]	Information and Documentation
1. ESHS Challenges	[describe the ESHS challenges faced in project implementation, including indication of scales/size by reference, if applicable project E&S categorization as per development bank categorization] [insert brief description or, if applicable, short abstract of the documentation annexed]
2. ESHS measures implemented	[description of measures implemented, incl. supporting documentation, if available] [insert brief description or, if applicable, short abstract of the documentation annexed]
3. ESHS knowhow transfer to local staff, local partners and subcontractors	[description of know how transfer and capacity building measures implemented, incl. supporting documentation, if available]

ESHS Contract No. <i>[insert number] of [insert number of ESHS contracts required as per Section III, 5.]</i>	Information and Documentation
---	--------------------------------------

[insert brief description or, if applicable, short abstract of the documentation annexed]

Maximum points attributed to above requirements: **30 points**

[Total points shall be distributed equally to the number of contract references as per requirement in Section III, criterion 5.2]

Form ENV–5.3 Environmental Management Capacity

[The following table shall be filled by the Applicant or each member of a Joint Venture, whose part in the JV is substantial (more than 40 (forty) % of the works)]

Applicant's Name:

Date:

JV Member Name:

BMZ ID: 2018 67 472 | KfW No. 513271

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The Applicant shall demonstrate:	Information and Documentation	ESHS level
1 • the existence of an Environmental Policy <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide relevant details of the Corporate Values or similar policy documents and declarations]</i>	3 2 points
2 • the existence of an Environmental Management System, incl. an adequate organizational set-up for definition, enforcement and monitoring. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide details of the organizational set-up and procedures for relevant issues within your company, for qualification details of relevant key staff see Form PER-5.7]</i>	3 2 points
3 • that all members of a JV, suppliers, subcontractors and temporary workforce a) are aware of and b) comply with the Environmental Management System. <i>insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide information on a) how awareness, know how transfer and enforcement is implemented to external partners b) nature, content and frequency of internal trainings to employees.]</i>	3 2 points
4 • that regular monitoring and reviews of the status of the Environmental Management System takes place. <i>insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide details or samples of risk assessments, reviews, audits and/or reports which are carried out in a regular basis for the last three years.]</i>	3 2 points
5 • that procedures exist to respond to and mitigate environmental emergencies and other adverse impacts on work sites. <i>insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide supporting evidence like emergency manuals (index only), emergency procedures, etc.]</i>	3 2 points

Maximum points attributed to above requirements: **10 points**

Form OHSAS–5.4 Occupational Health and Safety Capacity

[The following table shall be filled by the Applicant or each member of a Joint Venture, whose part in the JV is substantial (more than forty percent (40%) of the works)]

Applicant's Name:

Date:

JV Member Name:

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The Applicant shall demonstrate:	Information	ESHS level
1 • the existence of an Occupational Health & Safety Policy <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide a policy document and the index of the Occupational Health & Safety manual or other relevant documents and declarations]</i>	3 3 points
2 • the existence of management system, incl. an adequate organizational set-up for definition, enforcement and monitoring. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide details of the organizational set-up and procedures for health and safety issues within your company, for qualification details of relevant key staff see Form PER-5.7]</i>	3 3 points
3 • that regular reviews, audits and statistics of Health & Safety events and procedures especially on work sites exist. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide assessments, reviews, audits or statistical reports which are carried out on a regular basis for the last three years.]</i>	3 2 points
4 • that all members of a JV, suppliers (in particular those for major supply items), sub-contractors and temporary workforce a) are aware of and b) comply with the Health & Safety Policy. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide information on a) how awareness, know how transfer and enforcement is implemented to external partners b) nature, content and frequency of internal trainings to employees.]</i>	3 2 points

Maximum points attributed to above requirements: **10 points**

Form LOC–5.5 Socially Responsible Works Implementation

[The following table shall be filled by the Applicant or each member of a Joint Venture, whose part in the JV is substantial (more than forty percent (40 %) of the works)]

Applicant's Name:

Date:

JV Member Name:

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The Applicant shall demonstrate:	Documentation	ESHS level
1 • a strategy for staff and labour incl. recruitment of temporary workforce and local labour, worker grievance mechanism, etc. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide information and relevant documents, if any]</i>	3 5 points
2 • a comprehensive strategy for ensuring public health and safety, including programs and procedures to combat the spread of communicable diseases (incl. HIV/AIDS) <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Please provide supporting evidence]</i>	3 4 points
3 • a comprehensive strategy for staff accommodation, e.g. worksite camps, house rental, security, etc. <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide information and relevant documents, if any]</i>	3 4 points
4 • a comprehensive training strategy for transfer of ESHS knowhow to temporary workforce and subcontractors <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide information and relevant documents, if any]</i>	3 4 points
5 • a strategy for communication and interaction with stakeholders and local communities incl. grievance mechanism, incl. avoidance of damage to property and people <i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i>	<i>[Provide a concept how this relationship has been managed in former contracts.]</i>	3 3 points

Maximum points attributed to above requirements: **20 points**

Form COC–5.6 Ethical Business Principles

[The following table shall be filled by the Applicant or each member of a Joint Venture, whose part in the JV is substantial (more than forty percent (40%) of the works)]

Applicant's Name:

Date:

JV Member Name:

BMZ ID: 2018 67 472 | KfW No. 513271

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The Applicant shall demonstrate:	Documentation	ESHS level
<p>1</p> <ul style="list-style-type: none"> that ILO core labour standards ¹⁴ are fully respected in business operations by explicitly ticking the boxes. <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p>Our business operations respect the core labour standards on:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Freedom of Association <input type="checkbox"/> Elimination of Forced Labour <input type="checkbox"/> Non-Discrimination <input type="checkbox"/> Abolishment of Child Labour <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p>Pass/fail</p> <p>3</p>
<p>2</p> <ul style="list-style-type: none"> the existence of a declaration on ethical business principles or similar declaration. <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p><i>[Provide written information and evidence on business principles (code of conduct, conflict of interest, bribery, corruption, bid-rigging, unfair competition, insider rules, confidentiality, money-laundering, etc.)]</i></p>	<p>3</p> <p>3 points</p>
<p>3</p> <ul style="list-style-type: none"> the existence of an adequate organizational set-up to define, enforce and monitor the ethical business principles. <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p><i>[Provide details of the organizational set-up and staffing of the relevant department, for qualification details of relevant key staff see Form PER-5.7]</i></p>	<p>3</p> <p>3 points</p>
<p>4</p> <ul style="list-style-type: none"> that all members of a JV, suppliers (in particular those for major supply items), subcontractors and temporary workforce a) are aware of and b) comply with these principles. <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p><i>[Provide information on</i></p> <ul style="list-style-type: none"> a) <i>how awareness, know how transfer and enforcement is implemented to external partners</i> b) <i>nature, content and frequency of internal trainings to employees.]</i> 	<p>3</p> <p>2 points</p>

¹⁴ See relevant ILO conventions C087, C098, C029, C105, C100, C111, C138, C182. In case ILO conventions have not been fully ratified or implemented in the Employer's country the Applicant / Bidder / Contractor shall, to the satisfaction of the Employer and KfW, propose and implement appropriate measures in the spirit of the said ILO conventions.

The Applicant shall demonstrate:	Documentation	ESHS level
5 <ul style="list-style-type: none"> • that a confidential and anonymous mechanism for employees and third parties to report violations of the ethical business principles exists. <p><i>[insert brief description or, if applicable, short abstract of the documentation annexed]</i></p>	<p><i>[Describe the mechanism and the offered reporting channels (ombudsmen, whistleblower scheme, website, etc..)]</i></p>	3 2 points

Requirement 1 is **pass / fail**; maximum points attributed to above requirements 2 to 5: **10 points**

Form PER–5.7 List of Available ESHS and Construction Personnel

Complete the list below to demonstrate the extent to which you have access (internally / externally) to ESHS and Construction expertise required for personnel profiles described in Section VII; Scope of Works. Do not attach CVs as no personnel evaluation is carried out at the prequalification stage.

Name	Designation, in accordance with Section VII, Scope of Works	Education / Degree	Years of Professional Experience	Relationship with / Years within the Applicant ¹⁵	Country / Regional Experience	Relevant Project References (Description of project-related experience)	Languages
	Contractor's Representative						
	Construction Manager						
	Community Liaison Officer						
	ESHS Officer						
	Foreman						

Maximum points attributed to above requirements: **20 points**

1. max. 5 points for Contractor's Representative
2. max. 4 points for Construction Manager
3. max. 3 points for Community Liaison Officer
4. max. 4 points for ESHS Officer
5. max. 4 points for Foreman)

¹⁵ For freelance experts (e.g. with retainer contracts or formal agreements) indicate "FE" and how long the expert has been associated with the Applicant. For sub-consultant staff indicate "Sub". Staff from affiliated firms of the Applicant shall be considered as sub-consultant staff.

Section V Eligibility Criteria

Eligibility in KfW-Financed Procurement

1. Consulting Services, Works, Goods, Plant and Non-Consulting Services are eligible for KfW financing regardless of the country of origin of the Contractors (including Subcontractors and suppliers for the execution of the Contract), except where an international embargo or sanction by the United Nations, the European Union or the German Government applies.
2. Applicants / Bidders (including all members of a Joint Venture and proposed or engaged Subcontractors) shall not be awarded a KfW-financed Contract if, on the date of submission of their Application/Offer or on the intended date of Award of a Contract, they:
 - 2.1 are bankrupt or being wound up or ceasing their activities, are having their activities administered by courts, have entered into receivership, or are in any analogous situation;
 - 2.2 have been
 - (a) convicted by a final judgement or a final administrative decision or subject to financial sanctions by the United Nations, the European Union and/or the German Government for involvement in a criminal organisation, money laundering, terrorist-related offences, child labour or trafficking in human beings; this criterion of exclusion is also applicable to legal Persons, whose majority of shares are held or factually controlled by natural or legal Persons which themselves are subject to such convictions or sanctions;
 - (b) convicted by a final court decision or a final administrative decision by a court, the European Union or national authorities in the Partner Country or in Germany for Sanctionable Practice during any Tender Process or the performance of a Contract or for an irregularity affecting the EU's financial interests, unless they provide supporting information together with their Declaration of Undertaking (Form available as Appendix to the Application/Offer which shows that this conviction is not relevant in the context of this Contract and that adequate compliance measures have been taken in reaction;
 - 2.3 have been subject within the past five years to a Contract termination fully settled against them for significant or persistent failure to comply with their contractual obligations during Contract performance, unless this termination was challenged and the dispute resolution is still pending or has not confirmed a full settlement against them;
 - 2.4 have not fulfilled applicable fiscal obligations regarding payments of taxes either in the country where they are constituted or the PEA's country;
 - 2.5 are subject to an exclusion decision of the World Bank or any other multilateral development bank and are listed in the respective table with debarred and cross-debarred firms and individual available on the World Bank's website ¹⁶ or any other multilateral development bank unless they provide supporting information together with their Declaration of Undertaking which shows that this exclusion is not relevant in the context of this Contract or
 - 2.6 have given misrepresentation in documentation requested by the PEA as part of the Tender Process of the relevant Contract.
3. State-owned entities may compete only if they can establish that they (i) are legally and financially autonomous, and (ii) operate under commercial law. To be eligible, a state-owned entity shall establish to KfW's satisfaction, through all relevant documents, including its charter and other information KfW may request, that it: (i) is a legal entity separate from their state (ii) does not currently receive substantial subsidies or budget support; (iii) operates like any commercial enterprise, and, inter alia, is not obliged to pass on its surplus to their state, can acquire rights and liabilities, borrow funds and be liable for repayment of its debts, and can be declared bankrupt.

¹⁶ <https://www.worldbank.org/en/projects-operations/procurement/debarred-firms>

Section VI KfW Policy – Sanctionable Practice – Social and Environmental Responsibility

1) Sanctionable Practice

The PEA and the Contractors (including all members of a Joint Venture and proposed or engaged Subcontractors) must observe the highest standard of ethics during the Tender Process and performance of the Contract.

By signing the Declaration of Undertaking the Contractors declare that (i) they did not and will not engage in any Sanctionable Practice likely to influence the Tender Process and the corresponding Award of Contract to the PEA's detriment, and that (ii) in case of being awarded a Contract they will not engage in any Sanctionable Practice.

Moreover, KfW requires to include in the Contracts a provision pursuant to which Contractors must permit KfW and in case of financing by the European Union also to European institutions having competence under European law to inspect the respective accounts, records and documents relating to the Tender Process and the performance of the Contract, and to have them audited by auditors appointed by KfW.

KfW reserves the right to take any action it deems appropriate to check that these ethics rules are observed and reserves, in particular, the rights to:

- (a) reject an Offer for Award of Contract if during the Tender Process the Bidder who is recommended for the Award of Contract has engaged in Sanctionable Practice, directly or by means of an agent in view of being awarded the Contract;
- (b) declare mis-procurement and exercise its rights on the ground of the Funding Agreement with the PEA relating to suspension of disbursements, early repayment and termination if, at any time, the PEA, Contractors or their legal representatives or Subcontractors have engaged in Sanctionable Practice during the Tender Process or performance of the Contract without the PEA having taken appropriate action in due time satisfactory to KfW to remedy the situation, including by failing to inform KfW at the time they knew of such practices.

KfW defines, for the purposes of this provision, the terms set forth below as follows:

Coercive Practice	The impairing or harming, or threatening to impair or harm, directly or indirectly, any person or the property of the person with a view to influencing improperly the actions of a person.
Collusive Practice	An arrangement between two or more persons designed to achieve an improper purpose, including influencing improperly the actions of another person.
Corrupt Practice	The promising, offering, giving, making, insisting on, receiving, accepting or soliciting, directly or indirectly, of any illegal payment or undue advantage of any nature, to or by any person, with the intention of influencing the actions of any person or causing any person to refrain from any action.
Fraudulent Practice	Any action or omission, including misrepresentation that knowingly or recklessly misleads, or attempts to mislead, a person to obtain a financial benefit or to avoid an obligation.
Obstructive Practice	Means (i) deliberately destroying, falsifying, altering or concealing evidence material to the investigation or the making of false statements to investigators, in order to materially impede an official investigation into allegations of a Corrupt Practice, Fraudulent Practice, Coercive Practice or Collusive Practice, or threatening, harassing or intimidating any Person to prevent them from disclosing their knowledge of matters relevant to the investigation or from pursuing the investigation, or (ii) any act intended to materially impede the exercise of KfW's access to contractually required information in connection with an official investigation into allegations of a Corrupt Practice, Fraudulent Practice, Coercive Practice or Collusive Practice.

Sanctionable Practice Any Coercive Practice, Collusive Practice, Corrupt Practice, Fraudulent Practice or Obstructive Practice (as such terms are defined herein) which is unlawful under the Financing Agreement.

2) **Social and Environmental Responsibility**

Projects financed in whole or partly in the framework of Financial Cooperation have to ensure compliance with international Environmental, Social, Health and Safety (ESHS) standards (including issues of sexual exploitation and abuse and gender-based violence) Contractors in KfW-financed projects shall consequently undertake in the respective Contracts to:

- (a) comply with and ensure that all their Subcontractors and major suppliers, i.e. for major supply items comply with international environmental and labour standards, consistent with applicable law and regulations in the country of implementation of the respective Contract and the fundamental conventions of the International Labour Organisation¹⁷ (ILO) and international environmental treaties and;
- (b) implement any environmental and social risks mitigation measures, as identified in the environmental and social impact assessment (ESIA) and further detailed in the environmental and social management plan (ESMP) as far as these measures are relevant to the Contract and implement measures for the prevention of sexual exploitation and abuse and gender-based violence.

¹⁷ In case ILO conventions have not been fully ratified or implemented in the Employer's country the Applicant / Bidder /Contractor shall, to the satisfaction of the Employer and KfW, propose and implement appropriate measures in the spirit of the said ILO conventions with respect to a) workers grievances on working conditions and terms of employment, b) child labour, c) forced labour, d) worker's organisations and e) non-discrimination.

PART 2 – Works Requirements

Section VII Scope of Works

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1. Description of the Works

1.1 Background

The objective of the present project is “to mitigate the impacts of coastal erosion, coastal inundation and flooding by implementing suitable solutions such as sea walls, groynes, planting of mangroves and rehabilitation of drainage systems”.

For **Maxixe** the potential problems related to “coastal inundation due to high tides, torrential rain and cyclones” along the Chambone A and Malalane neighbourhoods will be addressed while existing drainage infrastructure need to be considered in the proposed solution.

Project owner is the Municipality of Inhambane through MAEFP. The municipality will be responsible for operation and maintenance of the built infrastructures in the future.

1.1.1 Financing

The overall Project – comprising both contracts, contract 1 Inhambane and contract 2 Maxixe – is financed through a grant by German Financial Cooperation via the Kreditanstalt für Wiederaufbau (KfW) amounting in total to 16 MEUR including consulting services etc.

1.1.2 Implementation Consultant

The Consulting Services contract for the technical assistance was awarded to the CES Consulting Engineers Salzgitter GmbH (Germany).

Address in Germany:

CES Consulting Engineers Salzgitter GmbH
Nordstrasse 23
38106 Braunschweig, Germany
Tel.: +49 531 317 04 0
e-mail: info@ces.de

1.2 Design Basis and Parameters

The design and specification of the work shall be in accordance with the applicable codes, regulations and standards commonly used in Mozambique and internationally.

The following codes and standards have been identified as applying to engineering design and construction:

- European Standards (EN) / German Standards (DIN)
- South African Bureau of Standards (SABS);
- International Standards Organization (ISO).

The design horizon for the present project is year 2036.

1.3 Form of Contract

The tender documents and thus, the contract will be based on the KfW template, edition January 2019. The so called “2-stage, 2-envelopes procedure” will be applied. Means, only pre-qualified Applicants will be invited to prepare an offer. The technical and financial proposals are to be submitted simultaneously but in separate envelopes. Only the financial proposals of those which have passed the technical evaluation will be opened and evaluated. The technical and financial evaluation criteria will be published within the tender documents.

The conditions of contract will be based on “Short Form of Contract”, 2nd Edition, 2021 (FIDIC Green Book). Applicants must familiarize themselves with the conditions of contract and confirm with the submission of the prequalification documents, that the said conditions of contract are well understood.

1.4 Materials and Technical Standards

All delivered materials and all works shall fulfil and be in compliance with the standards specified in the Employer's Requirements and technical specifications. All civil construction works shall conform to Standards and Code of Practice used in the Republic of Mozambique and to metric system. Any pipes, fittings, armatures, manhole covers etc. shall be in line with relevant and up-to-date internationally recognized standards (such as for example German DWA Design Standards). For other works, in case national standards are not available the relevant European Standards (EN) or South African Bureau of Standards (SABS) shall prevail.

Only the most recent standards shall be applicable. Applied standards must be provided by the Contractor in English language.

1.5 Cooperation with Other Projects

The Contractor will be required to cooperate with other Contractors which might carry out works within the same project area and/or adjacent areas and/or Contractors implementing works which shall directly connect to the project.

The Contractor will also be required to cooperate with specialized companies, firms, individuals, authorities etc. which are carrying out other tasks (e.g., environmental protection, financial monitoring etc.) under the same programme and/or other projects.

1.6 Anticipated Scope of Works

The project in Maxixe focuses on coastal erosion protection, improved drainage management and mangrove reforestation, integrating nature-based solutions to enhance ecological resilience. Additionally, it includes social infrastructure upgrades and public space development, fostering economic opportunities and improving urban accessibility while ensuring a cost-effective and sustainable design.

The Project aims to implement a protective coastal boundary and associated rehabilitated drainage outlets along the waterfront of Maxixe for about 1.5 km south of the jetty. This extension coincides with the location of an important portion of Maxixe's city centre along the Chambone A and Malalane neighbourhoods.

Key elements include:

- Rehabilitation of Drainage Mains and Outlets – improving stormwater management to mitigate inland flooding and prevent erosion from rainwater runoff.
- Coastal Erosion Protection – implementing nature-based solutions, such as groins and medium-size boulder footings, to stabilize slopes and prevent seawater intrusion.
- Social Infrastructure and Public Space Development – creating accessible and attractive public spaces with leisure amenities and small-scale economic opportunities to enhance the city's central area.

Project Measures

No.	Maxixe
1	Rehabilitation of damaged drainage mains and drainage outlets to the sea in the area between the ferry station and Chambone Mission (approx. 8 mains incl. outlets)
2	Protection measures against coastal erosion (approx. 4 groins and 1.2 km of medium-sized boulder footings)
3	Pedestrian walkway / footpath (approx. 1.5 km)
4	Waterfront square and other social infrastructures (approx. 5)

All measures are tied to each other and play an important role in achieving the objective. Measure 3, *Waterfront square and social infrastructures*, is additionally relevant since the central location of the project area warrants the project's integration into the urban system.

Stakeholders

The municipality of Maxixe is the main stakeholder. It is represented in the programme via the municipal Work Group (PEA-WG) that is set up for the purpose of accompanying project preparation and implementation. It complements the Project Executing Agency Core Group (PEA-CG) located at MAEFP-DNDA/Maputo, which meets with the financing institution KfW at regular intervals. The beneficiaries of the measure are the citizens of the city of Maxixe and the residents of the neighbourhoods Chambone A and Malalane. Among them, especially those that live immediately along the shoreline in proximity to the measure stand to benefit from project implementation.

2. Construction Period

The construction phase involves the actual implementation of coastal protection measures across the identified project locations. Each contract will have a single Contractor, but one Contractor may execute both contracts. The following activities are included:

Contract 2 – Maxixe

Construction Phase (24 consecutive months)

- **Supervision of Construction Works and Supplies**

Ensure adherence to technical specifications and environmental standards throughout the construction process.

- **Phased Construction of Protective Boundary**

Construction is divided into four sequential sections, with activities including site preparation, pathway construction, and rehabilitation of outlet structures.

The construction phase spans up to **24 months**.

Defects Notification Period (12 consecutive months)

The DNP provides a warranty period post-construction to address any defects or maintenance issues:

- Monitor and inspect the completed structures for functionality and durability.
- Rectify any identified defects to ensure compliance with contractual standards.

The DNP lasts **12 months**, after which the project undergoes a final review and formal acceptance.

3. Site and Other Data

3.1 Contract 2 - Maxixe

The project area consists of a coastal strip extending roughly 1.5 km along the neighbourhood of Chambone A and Malalane at the back of the city centre along the Inhambane Bay.



Figure 1 Project Area in Relation to Maxixe City Centre

3.2 Surveys

During the design phase of the project the following surveys have been carried out:

- **Topographical survey**

The data and respective drawings will be provided within the tender drawings. Nevertheless the future Contractor has the obligation to check the coordinates and to carry out his own topographical survey where necessary.

- **Bathymetrical survey**

The data and respective numerical modelling will be provided within the tender drawings. Nevertheless the future Contractor has the obligation to critically review the information and if required, carry out supplementary investigations at his own costs.

- **Geotechnical survey**

The gained information has been considered to be sufficient for the preparation of the design. Nevertheless the future Contractor has the obligation to critically review the information and if required, carry out supplementary investigations at his own costs.

4. Environmental and Social Management Plan (ESMP)

The Works' policy goal of the projects are to integrate environmental protection, occupational and community health and safety, gender, equality, child protection, vulnerable people (including those with disabilities), sexual harassment, gender-based violence (GBV), sexual exploitation and abuse (SEA), HIV / AIDS awareness and prevention and wide stakeholder engagement in the planning processes, programs, and activities of the parties involved in the execution of the Works. Applicants shall confirm via a statement to comply with:

1. apply good international industry practice to protect and conserve the natural environment and to minimize unavoidable impacts;
2. provide and maintain a healthy and safe work environment and safe systems of work;
3. protect the health and safety of local communities and users, with particular concern for those who are disabled, elderly, or otherwise vulnerable;
4. ensure that terms of employment and working conditions of all workers engaged in the Works meet the requirements of the ILO labour conventions to which the host country is a signatory;
5. be intolerant of and enforce disciplinary measures for illegal activities. To be intolerant of, and enforce disciplinary measures for GBV, inhumane treatment, sexual activity with children, and sexual harassment;
6. incorporate a gender perspective and provide an enabling environment where women and men have equal opportunity to participate in, and benefit from, planning and development of the Works;
7. work co-operatively, including with end users of the Works, relevant authorities, contractors and local communities;
8. engage with and listen to affected persons and organizations and be responsive to their concerns, with special regard for vulnerable, disabled, and elderly people;
9. provide an environment that fosters the exchange of information, views, and ideas that is free of any fear of retaliation, and protects whistle-blowers;
10. minimize the risk of HIV transmission and to mitigate the effects of HIV / AIDS associated with the execution of the Works.

Category B+: E&S Management Plans as well as the C-ESMPs for each contract are to be provided prior commencement of the Works by the awarded Contractors in accordance with the Environmental Licenses.

A project specific ESIA with a project ESMP is being prepared, which will guide Contractors in developing their C-ESMP. Additional E&S Management Plans and Tools might need to be implemented, but this will be decided during the detailed phase of the ESIA preparation, which is still ongoing.